

# Design of Switched-Capacitor Voltage Boost Converter for Low-Voltage and Low-Power Energy Harvesting Systems

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**SUMMARY** This paper discusses and elaborates an analytical model of a multi-stage switched-capacitor (SC) voltage boost converter (VBC) for low-voltage and low-power energy harvesting systems, because the output impedance of the VBC, which is derived from the analytical model, plays an important role in the VBC's performance. In our proposed method, we focus on currents flowing into input and output terminals of each stage and model the VBCs using switching frequency  $f$ , charge transfer capacitance  $C_F$ , load capacitance  $C_L$ , and process dependent parasitic capacitance's parameter  $k$ . A comparison between simulated and calculated results showed that our model can estimate the output impedance of the VBC accurately. Our model is useful for comparing the relative merits of different types of multi-stage SC VBCs. Moreover, we demonstrate the performance of a prototype SC VBC and energy harvesting system using the SC VBC to show the effectiveness and feasibility of our proposed design guideline.

**key words:** Internet of Things (IoT), energy harvesting, voltage boost converter, output impedance

## 1. Introduction

The development of ultra-low power LSIs is expected to lead to the expansion of the next-generation Internet-of-Things (IoT) era. IoT devices will be a promising communication platform for collecting and delivering information throughout the world [1]–[8]. As shown in Fig. 1, a huge number of distributed IoT edge nodes will be installed everywhere to measure various types of physical data in our surroundings, store and process the measured data, and output the data on demand. To realize such a society, IoT edge nodes must operate with low power because they will probably be used under conditions where they have to draw necessary energy from poor, less-than-ideal energy sources.

Energy harvesting has been studied and gained increasing attention as a means of enabling battery-less and maintenance-free systems [9]–[20]. Table 1 summarizes the typical characteristics of various energy sources. As shown in Table 1, the harvesters can supply approximately several tens of micro-watts. However, we cannot use the output voltages of the harvesters directly because they are too low to operate LSIs or battery chargers. Therefore, an ultra-low power and highly efficient power management circuit with a

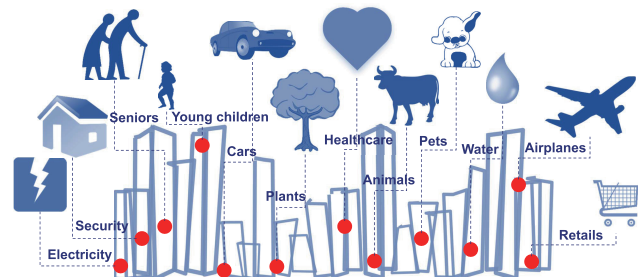


Fig. 1 IoT society with huge number of distributed edge nodes.

Table 1 Characteristics of various energy sources

Energy source	Output voltage	Output power density
Light (indoor)	~0.6 V	~10s $\mu\text{W}/\text{cm}^2$
Vibration	~10s V	~10s $\mu\text{W}/\text{cm}^2$
Thermal energy	~10s mV	~10s $\mu\text{W}/\text{cm}^2$

voltage boost converter (VBC) is required.

As a VBC, switched capacitor (SC) VBCs are widely used to boost the output voltage of harvesters because the SC VBCs can convert a low-voltage input to a high-voltage output efficiently and can be implemented on a chip without using external off-chip components. In addition, a higher output voltage can be obtained easily by connecting SC VBCs in cascade [17]–[26].

Analytical models of multi-stage SC VBCs are useful for investigating and estimating the VBCs' performance [27], [28]. Although conventional models using simple SC VBCs have been discussed in [29], [30], few reports on a model using more practical SC VBCs can be found. In addition, although the conventional models use the switching frequency  $f$  and flying capacitance  $C_F$  to model a VBC, a load capacitance  $C_L$  and complementary SC configuration are not taken into account. Therefore, the modeling accuracy is insufficient for use in actual VBCs.

In this paper, we develop and elaborate an analytical model of a multi-stage VBC. In our model, we focus on currents flowing in input and output terminals of each stage, and then the output impedance is derived by using switching clock frequency  $f$ , charge transfer flying capacitor  $C_F$ , and load capacitor  $C_L$ . A complementary SC configuration is also taken into account. By deriving the output impedance of the VBC, we can estimate and design a highly efficient

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multi-stage SC VBC. We also discuss the effect of non-ideal parasitic capacitance and resistance, and we conduct a dedicated performance comparison using ideal, short-, and long-channel MOS switches. Moreover, a prototype SC VBC and energy harvesting using the SC VBC are developed to show the effectiveness and feasibility of our proposed design guideline.

This paper is organized as follows: Sect. 2 describes the operation of the SC VBC. Section 3 explains our modeling method. The effects of parasitics on the SC VBC are discussed and some key performance metrics are derived theoretically. Section 4 shows the effectiveness of the model and demonstrates a prototype SC VBC and energy harvesting system using the SC VBC. Section 5 concludes the paper.

## 2. Switched-Capacitor VBC

Figure 2 (a) shows a schematic of a simple SC VBC. It can generate an  $(n + 1)$  times higher  $V_{out}$  from an input voltage  $V_{in}$  by connecting  $n$ -stage SC VBCs in cascade. However, many stages are needed to generate a higher  $V_{out}$  because the clock (CLK) has the same voltage as  $V_{in}$ .

Figure 2 (b) shows a schematic of a modified SC VBC. The VBC consists of a flying metal-insulator-metal (MIM) capacitor  $C_F$  and switches, which are driven by non-overlapping control clock signals. The VBC accepts two different voltages of  $V_H$  and  $V_L$ , which are different from Fig. 2 (a), and generates a higher  $V_{out}$  with a lower number of stages. When SW1 and SW2 are ON and OFF, respectively,  $C_F$  is charged with  $V_{in} - V_L$ . After that, when SW1 and SW2 toggle, the top potential of  $C_F$  can be expressed as

$$V_{out} = V_{in} + V_H - V_L, \quad (1)$$

because the bottom potential of  $C_F$  becomes  $V_H$ . This way, we can obtain a higher output voltage.

However, the  $V_{out}$  is disconnected from  $C_F$  when SW2 is OFF. This causes the output voltage to reduce and degrades the power conversion efficiency (PCE). A complementary circuit configuration is often adopted to cope with this problem [31]–[34]. Figure 3 (a) shows a schematic of the complementary circuit configuration including a load capacitor  $C_L$ . The complementary block is added to Fig. 2 (b). Figure 3 (b) shows its circuit symbol.

To generate a higher  $V_{out}$ , we can use multi-stage SC VBCs. As aforementioned, only one way can be utilized to obtain a higher  $V_{out}$  when we use simple VBCs [Fig. 2 (a)]. However, several ways are possible when we use modified VBCs [Fig. 2 (b)] because we can use intermediate output voltages at each stage as  $V_H$  and  $V_L$ . For example, three ways can be utilized to obtain  $3 \times V_{in}$  as shown in Figs. 4 (a)–4 (c). However, judging which topology is the best is quite difficult without performing SPICE simulations. Therefore, we need a design guideline to develop a highly efficient VBC.

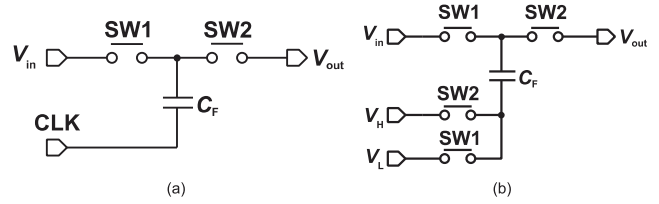


Fig. 2 Schematics of (a) simple and (b) modified SC VBCs.

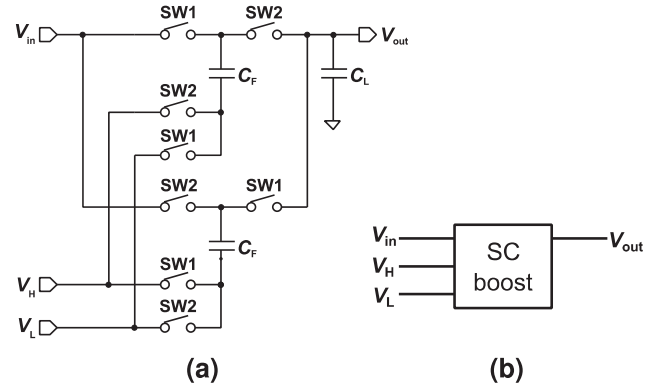


Fig. 3 (a) Complementary circuit configuration and (b) its circuit symbol.

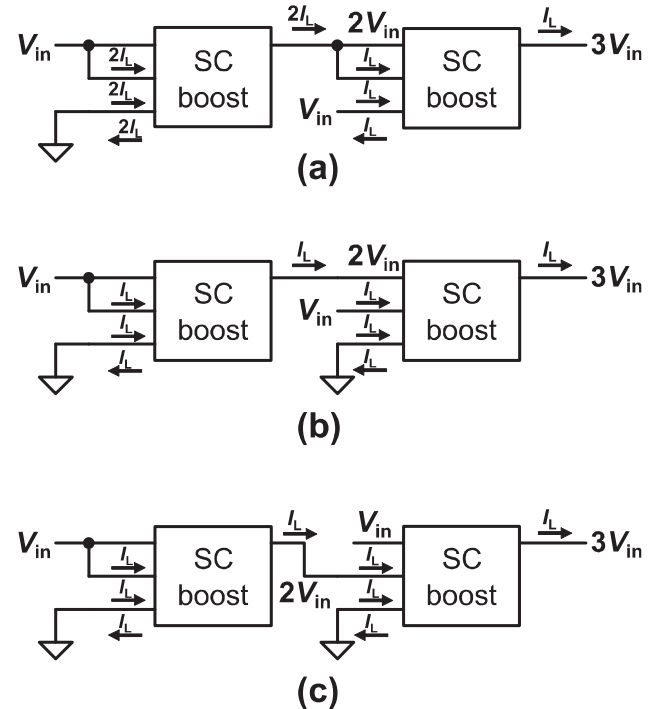


Fig. 4 Topologies of 2-stage VBC ( $V_{out} = 3 \times V_{in}$ ).

## 3. Modeling for Multi-Stage SC VBC

### 3.1 Preliminaries

In this section, we discuss a method for modeling

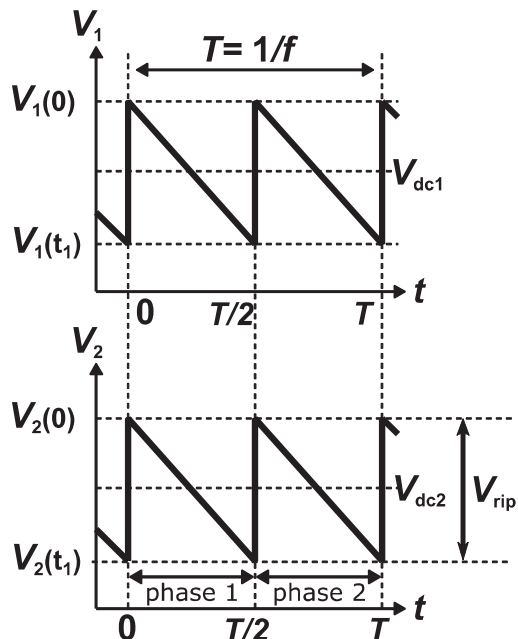


Fig. 5 Output waveforms of 1st (top) and 2nd stages (bottom).

multi-stage SC VBCs by taking Fig. 4 (a) as an example. In our modeling method, we suppose the following conditions.

1. All switches are ideal switches.
2. Parasitic capacitance can be ignored.
3. Currents flowing into the input terminals can be estimated from the output current.
4. The non-overlapping period of the clocks is extremely small.

Note that, with these conditions, output waveforms of each stage can be regarded as ideal sawtooth waves as shown in Fig. 5 when the output load current is  $I_L$ , where  $T (= 1/f)$  is the clock period,  $f$  is the clock frequency,  $V_1(0)$ ,  $V_1(T/2)$ , and  $V_{dc1}$  are the maximum, minimum, and average output voltages of the 1st stage, and  $V_2(0)$ ,  $V_2(T/2)$ , and  $V_{dc2}$  are the maximum, minimum, and average output voltages of the 2nd stage. From Fig. 5, the average output voltages of each stage can be expressed as

$$V_{dc1} = \frac{V_1(0) + V_1(T/2)}{2}, \quad (2)$$

$$V_{dc2} = \frac{V_2(0) + V_2(T/2)}{2}, \quad (3)$$

and the ripple voltage  $V_{rip}$  at the output can be expressed as

$$V_{rip} = V_2(0) - V_2(T/2). \quad (4)$$

Figure 6 shows currents flowing into the input and output terminals when SW1 and SW2 are OFF and ON, respectively. As shown in Fig. 6, when the load current  $I_L$  flows into the output, the same current  $I_L$  flows from  $V_H$ . In addition,  $I_L$  also flows from  $V_{in}$  to  $V_L$ . Therefore, we obtain the following equation as

$$C_F(V_{in} - V_L) = \frac{I_L T}{2}. \quad (5)$$

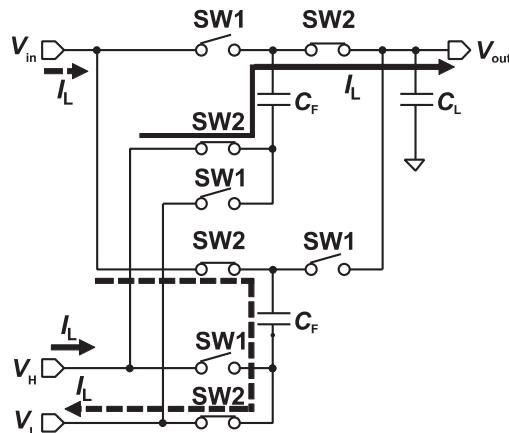


Fig. 6 Illustration of current flows.

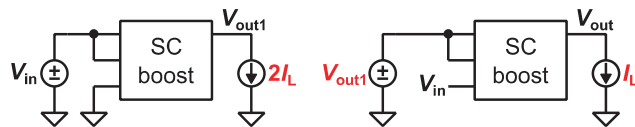
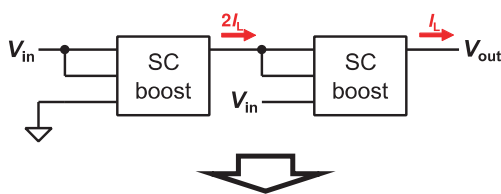


Fig. 7 Equivalent topology of Fig. 4 (a) considering load current.

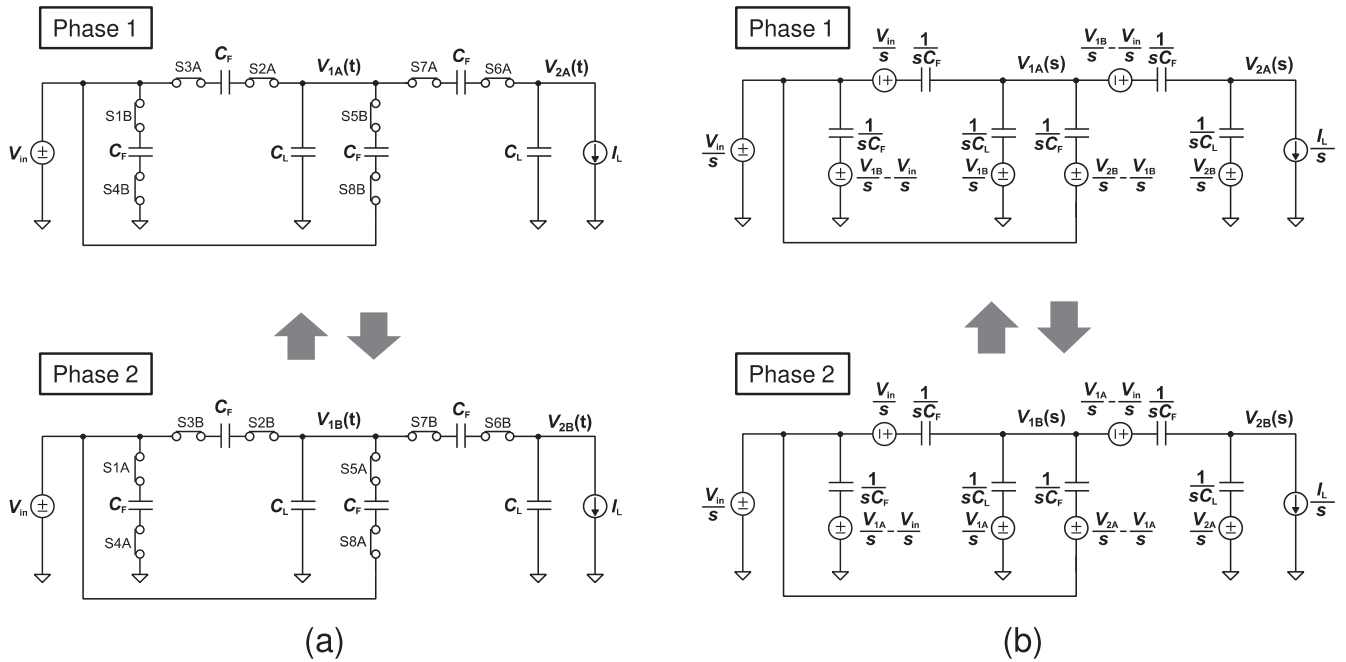
As depicted in Figs. 4 (a)–4 (c), we can estimate currents flowing into each terminal.

### 3.2 Equivalent Model of SC VBCs

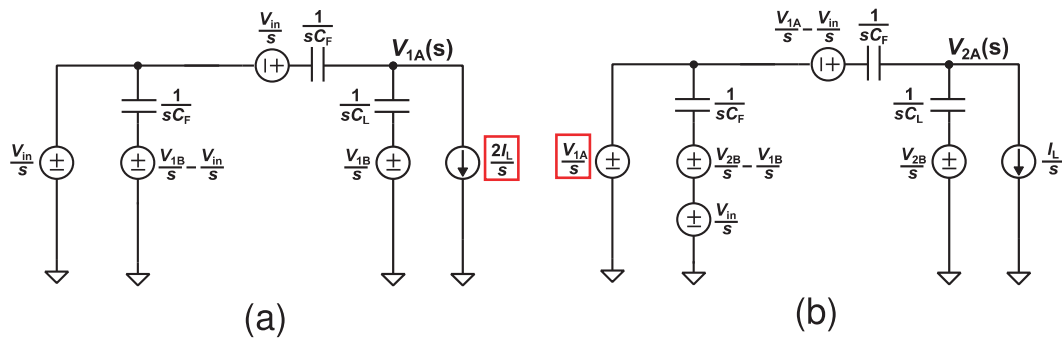
Figure 7 shows an equivalent topology of Fig. 4 (a) considering load current. We can divide the circuit into two circuits by using each output current and output voltage of the 1st stage as current and voltage sources as shown on the bottom in Fig. 7. By dividing a circuit into each stage, we can derive the output impedance.

Figure 8 (a) shows time domain circuits in each phase. The configuration in both phases are almost the same, except for ON switches (e.g., S1B is ON in phase 1, while S1A is ON in phase 2) because the SC VBC utilizes the complementary circuit configuration. From Fig. 8 (a), we can redraw frequency domain circuits as shown in Fig. 8 (b). Initial voltages for the capacitors are expressed by the voltage sources, and their voltages are determined by the voltages at the end of each phase. We analyze phase 1 in the frequency domain because the VBC alternately operates in phase 1 and phase 2.

Figures 9 (a) and 9 (b) show the frequency domain circuits of the 1st and 2nd stages, respectively. The output voltage of the 1st stage can be solved using Kirchhoff's current law (KCL) because the output current of the 1st stage is  $2I_L$  as depicted in Fig. 4 (a). From the KCL for node  $V_{1A}(s)$ , we



**Fig. 8** (a) Time domain circuits and (b) frequency domain circuits of Fig. 4 (a) in each phase.



**Fig. 9** Frequency domain circuits of Fig. 4 (a): (a) 1st and (b) 2nd stage circuits.

can obtain the following equation:

$$\frac{V_{1A}(s) - \left(\frac{V_{in}}{s} + \frac{V_{in}}{s}\right)}{1/sC_F} + \frac{2I_L}{s} + \frac{V_{1A}(s) - \frac{V_{1B}(T/2)}{s}}{1/sC_L} = 0. \quad (6)$$

Therefore,  $V_{1A}(s)$  is expressed as

$$V_{1A}(s) = \alpha_1 \frac{2V_{in}}{s} + \alpha_2 \frac{V_{1B}(T/2)}{s} - \frac{2I_L}{s^2(C_F + C_L)}, \quad (7)$$

where  $\alpha_1 = C_F/(C_F + C_L)$  and  $\alpha_2 = C_L/(C_F + C_L)$ . From Eq. (7), we obtain the time domain voltage  $V_{1A}(t)$  as

$$V_{1A}(t) = \alpha_1 2V_{in} + \alpha_2 V_{1B}(T/2) - \frac{2I_L}{C_F + C_L} t. \quad (8)$$

At  $t = T/2$ , Eq. (8) can be expressed by

$$V_{1A}(T/2) = 2V_{in} - \frac{2I_L T}{C_F}, \quad (9)$$

because  $V_{1B}(T/2)$  is equal to  $V_{1A}(T/2)$  due to the symmetrical VBC's operation. From the aforementioned analysis, we obtain the output voltage of the 1st stage, thereby enabling

us to analyze the 2nd stage using Eq. (9).

From the KCL for node  $V_{2A}(s)$ , we obtain the following equation:

$$\frac{V_{2A}(s) - \left(\frac{2V_{1A}}{s} - \frac{V_{in}}{s}\right)}{1/sC_F} + \frac{I_L}{s} + \frac{V_{2A}(s) - \frac{V_{2B}(T/2)}{s}}{1/sC_L} = 0. \quad (10)$$

Therefore,  $V_{2A}(s)$  is expressed as

$$V_{2A}(s) = \alpha_1 \left(\frac{2V_{1A}}{s} - \frac{V_{in}}{s}\right) + \alpha_2 \frac{V_{2B}(T/2)}{s} - \frac{I_L}{s^2(C_F + C_L)}. \quad (11)$$

From Eq. (11), we obtain the time domain voltage  $V_{2A}(t)$  as

$$V_{2A}(t) = \alpha_1 (2V_{1A}(T/2) - V_{in}) + \alpha_2 V_{2B}(T/2) - \frac{I_L}{C_F + C_L} t. \quad (12)$$

At  $t = T/2$ , Eq. (12) can be expressed by

$$V_{2A}(T/2) = 3V_{in} - \frac{5I_L T}{C_F}, \quad (13)$$

because  $V_{2B}(T/2)$  is equal to  $V_{2A}(T/2)$ .

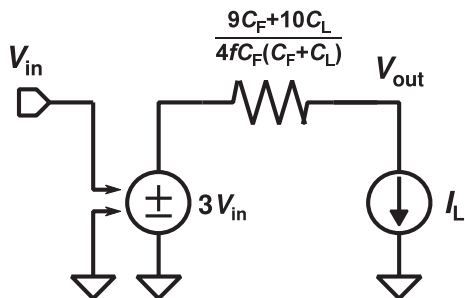


Fig. 10 Equivalent circuit model of Fig. 4 (a).

Table 2 Output impedance of each topology (2-stage VBC)

2-stage VBC ( $V_{out} = 3V_{in}$ )	Output impedance
Fig. 4 (a)	$\frac{9C_F + 10C_L}{4fC_F(C_F + C_L)}$
Fig. 4 (b)	$\frac{3C_F + 4C_L}{4fC_F(C_F + C_L)}$
Fig. 4 (c)	$\frac{3C_F + 4C_L}{4fC_F(C_F + C_L)}$

From Eqs. (3), (12), and (13), the average output voltage  $V_{dc2}$  is given by

$$V_{dc2} = 3V_{in} - \frac{9C_F + 10C_L}{4fC_F(C_F + C_L)} I_L. \quad (14)$$

From Eq. (14), we can derive an equivalent circuit model of Fig. 4 (a) as shown in Fig. 10. The model consists of a voltage controlled voltage source, output impedance, and load current. The second term of Eq. (14) stands for the conduction loss of the VBC, and, thus, we can model the performance of the multi-stage VBC.

Table 2 summarizes the calculated output impedance of each topology [Figs. 4 (a)–4 (c)]. As can be seen, the output impedance of Fig. 4 (a) is the largest, while those of Figs. 4 (b) and 4 (c) are the smallest and the same. This means that the circuit topology shown in Fig. 4 (a) is inappropriate due to the large output impedance. In addition, we can find that the two input terminals of  $V_{in}$  and  $V_H$  of the stage are exchangeable because the output impedances of Figs. 4 (b) and 4 (c) are the same.

### 3.3 Modeling with Parasitic Capacitance

In Sect. 3.2, we ignore the parasitic capacitance of the VBC to simplify the analysis. However, we must consider parasitic capacitance to develop an accurate model. Figure 11 shows a modified schematic of Fig. 3 (a) with bottom-plate parasitic capacitances  $C_b$  and  $C_{bL}$ . The parasitic capacitance can be expressed as  $C_b = kC_F$  and  $C_{bL} = kC_L$ , where  $k$  is the process dependent parasitic capacitance's parameter.

#### 3.3.1 Output Voltage

Figure 12 shows time and frequency domain circuits of including bottom plate parasitic capacitance. We ignore  $C_{bL}$

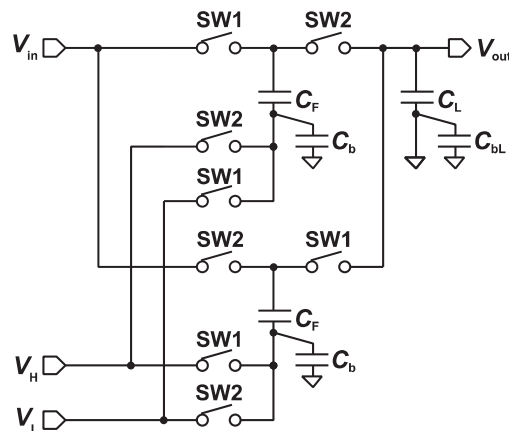


Fig. 11 Schematic of complementary VBC with bottom plate parasitic capacitance.

because the bottom plate voltage of  $C_L$  is kept at 0 V. With the same procedure as discussed in Sect. 3.2, the average output voltage of the 2-stage VBC is given by

$$V_{dc2} = (3 - 4k + k^2)V_{in} - \frac{(9 - 4k)C_F + (10 - 4k)C_L}{4fC_F(C_F + C_L)} I_L. \quad (15)$$

Compared with Eq. (14), we find that parasitic capacitance reduces the maximum output voltage and its output impedance (the details of the derivation are discussed in Appendix).

#### 3.3.2 Output Impedance and Ripple Voltage with Area Constraint

Each stage of the VBC has two  $C_F$ s and one  $C_L$ , and, thus, the total capacitance  $C_{total}$  per stage is  $2C_F + C_L$ . The output impedance  $Z_{out}$  and ripple voltage  $V_{rip}$  can be expressed by

$$Z_{out} = \frac{(2\beta + 1)((9 - 4k)\beta + (10 - 4k))}{4\beta(\beta + 1)fC_{total}}, \quad (16)$$

$$V_{rip} = \frac{I_L}{2f(C_F + C_L)} = \frac{(2\beta + 1)I_L}{2(\beta + 1)fC_{total}}, \quad (17)$$

where  $\beta$  is the ratio of  $C_F$  and  $C_L$  (i.e.,  $\beta = C_F/C_L$ ). From Eqs. (16) and (17),  $Z_{out}$  decreases and  $V_{rip}$  increases as  $\beta$  increases. Therefore, we have to pay attention to the trade-off between the output impedance and ripple voltage.

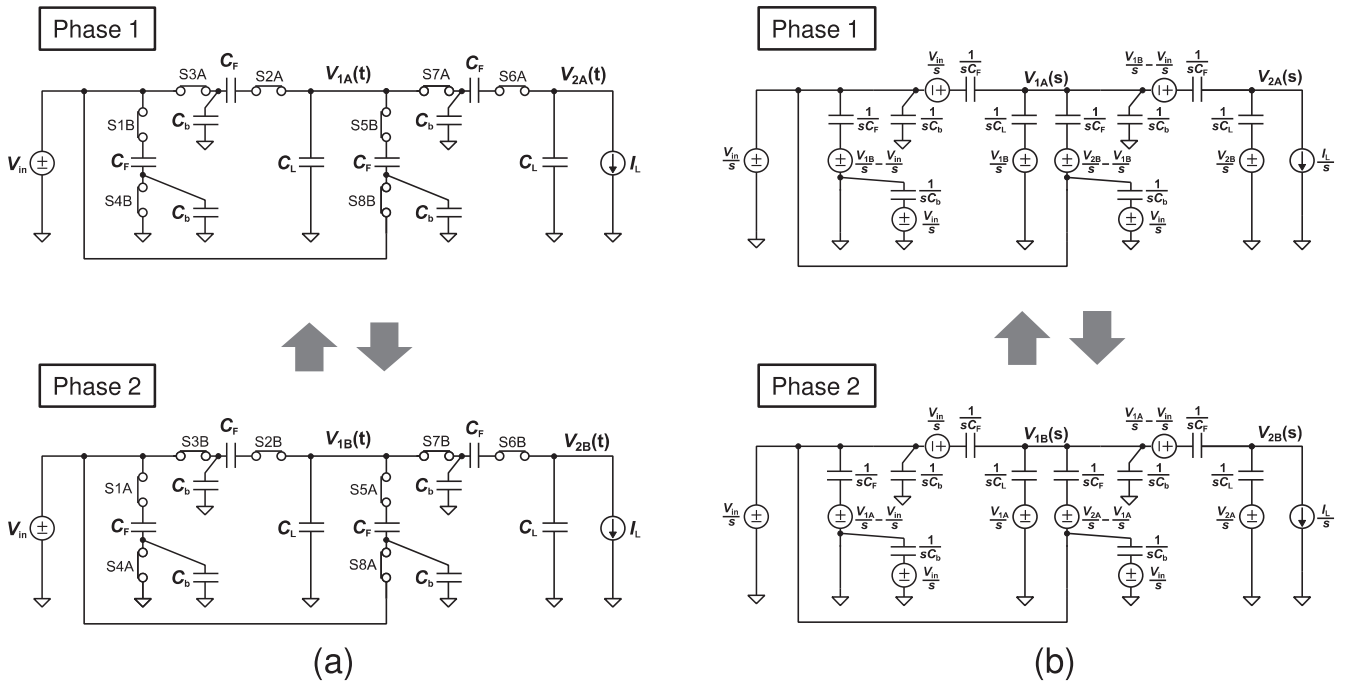
#### 3.3.3 Power Conversion Efficiency (PCE)

We can derive the PCE  $\eta$  using our model, which is expressed as

$$\eta = \frac{P_{out}}{P_{in}} = \frac{P_{out}}{P_{out} + P_{lossr} + P_{lossb}}, \quad (18)$$

where  $P_{in}$  and  $P_{out}$  are the input and output power, and  $P_{lossr}$  and  $P_{lossb}$  are the impedance loss and bottom-plate parasitic loss, respectively. From Eqs. (15) and (16),  $P_{out}$  and  $P_{lossr}$  are expressed as

$$P_{out} = V_{dc2}I_L, \quad (19)$$



**Fig. 12** (a) Time domain circuits and (b) frequency domain circuits of Fig. 11 in each phase with bottom plate parasitic capacitance.

$$P_{\text{lossr}} = Z_{\text{out}} I_L^2. \quad (20)$$

$P_{\text{lossb}}$  can be obtained as follows. From Fig. 11, when SW1 or SW2 are ON,  $C_b (= kC_F)$  is charged with  $V_H$ . After that, when SWs toggle, the charge is discharged to  $V_L$ . Therefore,  $P_{\text{lossb}}$  can be expressed as

$$P_{\text{lossb}} = fkC_F V_{\text{in}}^2 + fkC_F (V_{1A}(T/2)^2 - V_{\text{in}}^2), \quad (21)$$

where the first and second terms are the loss in the first and second stages, respectively. Therefore, from Eqs. (18), (19), (20), (21), and (A.4) (see Appendix), the PCE can be expressed as

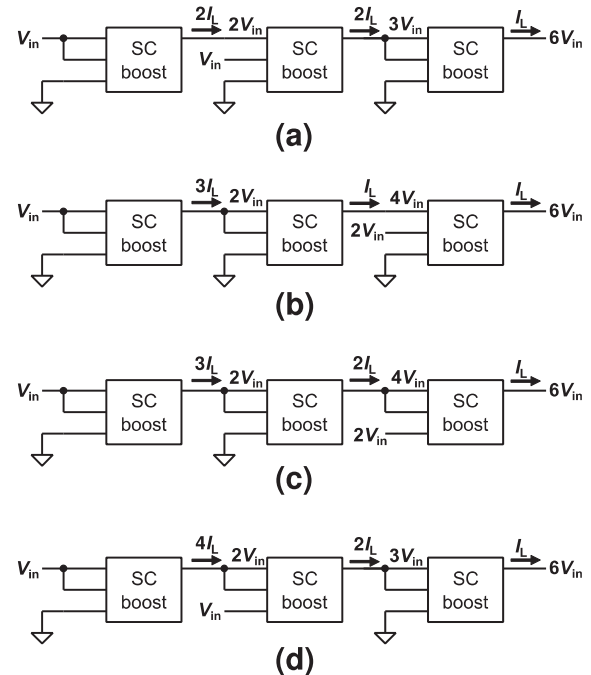
$$\eta = \frac{((3 - 4k + k^2)V_{\text{in}} - \frac{(9-4k)C_F + (10-4k)C_L}{4fC_F(C_F+C_L)} I_L) I_L}{(3 - 8k + 3k^2)V_{\text{in}} I_L + (2 - k)^2 fkC_F V_{\text{in}}^2 + \frac{kI_L^2}{C_F f}}. \quad (22)$$

From Eq. (22), we find that the maximum PCE will be determined by not only the load current  $I_L$  but also the clock frequency  $f$ .

## 4. Results

### 4.1 Simulation Results

We evaluated the accuracy of our model by using SPICE with a set of 65-nm standard CMOS process parameters. We designed 3-stage VBCs that generate  $6 \times V_{\text{in}}$ . Figure 13 shows the circuit topologies we evaluated. Four topologies are possible. Table 3 summarizes the calculated output impedance of each topology. From Table 3, we can estimate that Fig. 13 (a) has the smallest output impedance.



**Fig. 13** Four possible topologies of 3-stage VBC ( $V_{\text{out}} = 6 \times V_{\text{in}}$ ).

In the following simulations, the input voltage  $V_{\text{in}}$ , switching frequency  $f$ , process dependent parasitic capacitance's parameter  $k$ , and on- and off-resistance of the ideal switches were set to 120 mV, 20 kHz, 0.005, 5  $\Omega$ , and 1 T $\Omega$ , respectively. The voltage swing of the non-overlapping clock signal for MOS switches was set to 1.0 V for a fair performance comparison of the different topologies. We set

$C_{\text{total}} (= 2C_F + C_L)$  to 150 pF and investigated three different capacitance conditions:  $(C_F, C_L) = (20, 110 \text{ pF})$ ,  $(50, 50 \text{ pF})$ , and  $(70, 10 \text{ pF})$ .

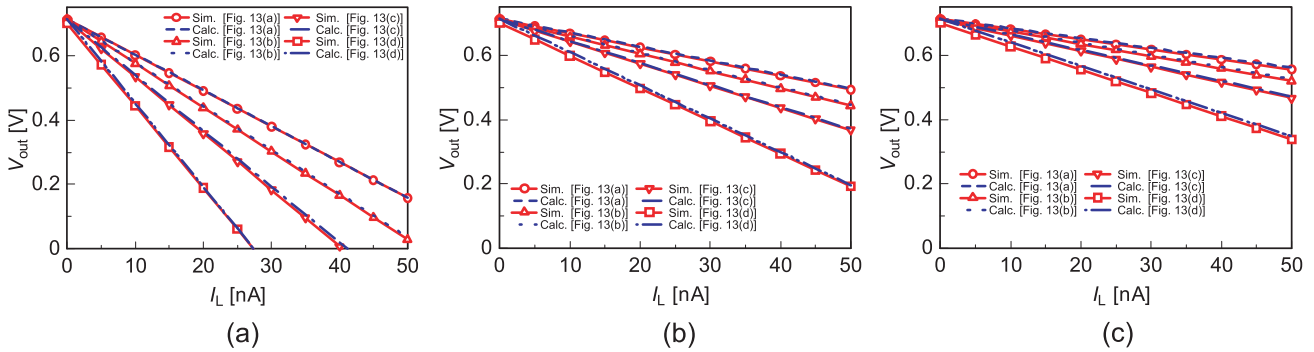
Figures 14, 15, 16, and Table 4 show and summarize the comparison results. The results revealed that the output impedance of Fig. 13 (a) had the smallest output impedance as expected. Therefore, we found that Fig. 13 (a) was the most suitable configuration. Figure 14 shows the output voltage as a function of load current when we used ideal switches. We compared the simulated results with the calculated results, which were obtained using our proposed model. As shown in Fig. 14, the simulated and calculated results were almost the same in all cases, demonstrating that our proposed model could estimate the output impedance

of the multi-stage VBC accurately. We also found that a larger  $C_F$  widens the load current range. Figure 15 shows the output voltage as a function of load current when we used short-channel MOS switches. The channel length was set to 60 nm. The simulated output voltage slightly decreased as a whole, compared with the calculated results. In addition, as can be seen in Fig. 15, the output impedances of the VBCs slightly decreased. This was because we used non-ideal MOS switches. We set the  $r_{\text{off}s}$  of the ideal switches to 1 T $\Omega$ . However, the  $r_{\text{off}s}$  of the MOS switches were less than the ideal ones. The MOS switches could not be sufficiently turned off. Therefore, the output voltages and output impedances decreased. Figure 16 shows the output voltage as a function of load current when we use long-channel MOS switches. The channel length was set to 200 nm. As channel length  $L$  increases,  $r_{\text{on}s}$  and  $r_{\text{off}s}$  increase [35]. Therefore, the output voltage was close to the calculated results.

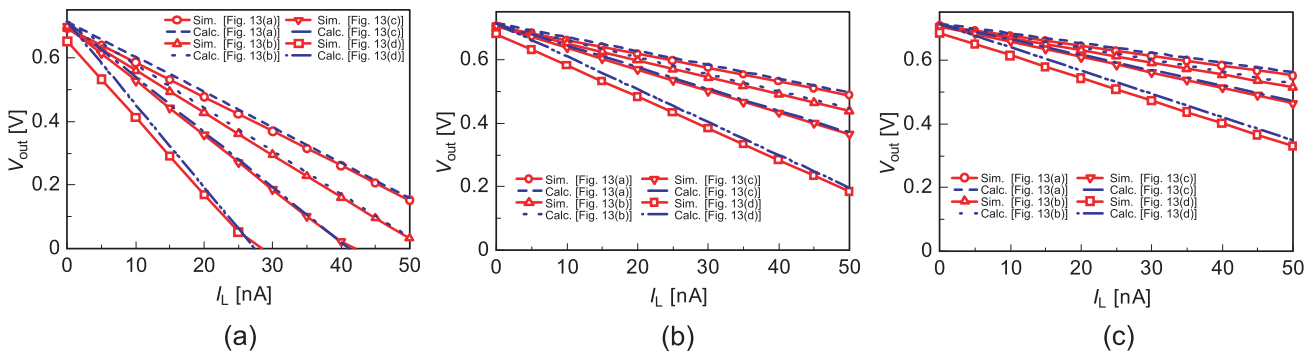
We investigated the output impedance and ripple voltage of Fig. 13 (a). We set  $C_{\text{total}} (= 2C_F + C_L)$  and load current  $I_L$  to 150 pF and 20 nA, respectively. Figure 17 shows the simulated and calculated output impedance as a function of  $C_F/C_L$ . The output impedance decreased as  $C_F/C_L$  increased. The simulated output impedance showed good agreement with the calculated results. Figure 18 shows

**Table 3** Output impedance of each topology (3-stage VBC)

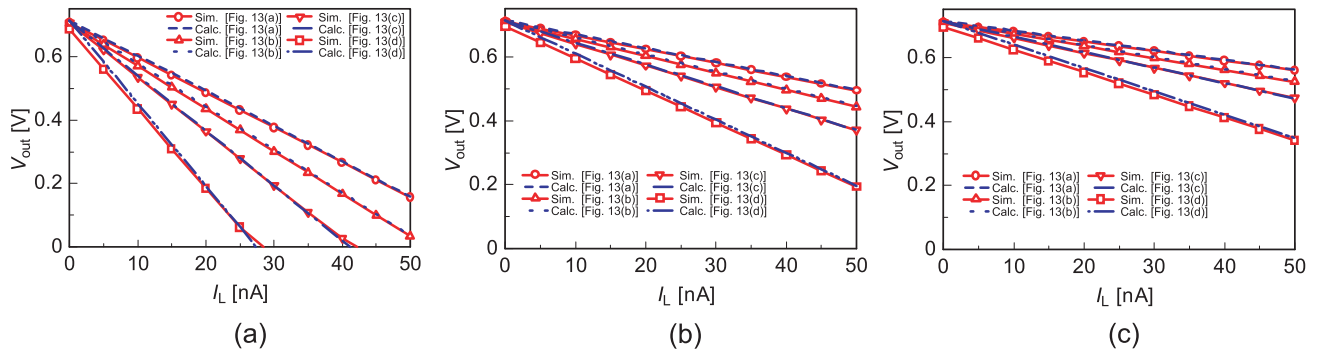
3-stage VBC	Output impedance
Fig. 13 (a)	$\frac{4(2-k)^2+1}{4fC_F(C_F+C_L)}C_F+(4(2-k)^2+2)C_L$
Fig. 13 (b)	$\frac{2(1-k)(10-k)+1}{4fC_F(C_F+C_L)}C_F+(2(1-k)(10-k)+2)C_L$
Fig. 13 (c)	$\frac{2(2-k)(8-k)-5}{4fC_F(C_F+C_L)}C_F+(2(2-k)(8-k)-4)C_L$
Fig. 13 (d)	$\frac{2(2-k)(10-4k)+1}{4fC_F(C_F+C_L)}C_F+(2(2-k)(10-4k)+2)C_L$



**Fig. 14** Output voltage of VBC using ideal switches as function of load current.  $C_{\text{total}} (= 2C_F + C_L)$  was set to 150 pF. Capacitances  $(C_F, C_L)$  were set to (a) (20, 110 pF), (b) (50, 50 pF), and (c) (70, 10 pF).



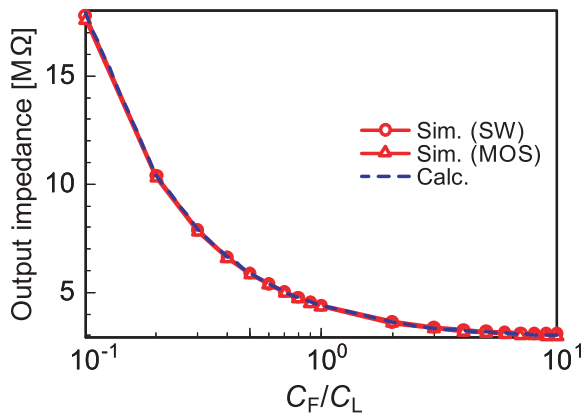
**Fig. 15** Output voltage of VBC using MOS switches ( $L=60 \text{ nm}$ ) as function of load current.  $C_{\text{total}} (= 2C_F + C_L)$  was set to 150 pF. Capacitances  $(C_F, C_L)$  were set to (a) (20, 110 pF), (b) (50, 50 pF), and (c) (70, 10 pF).



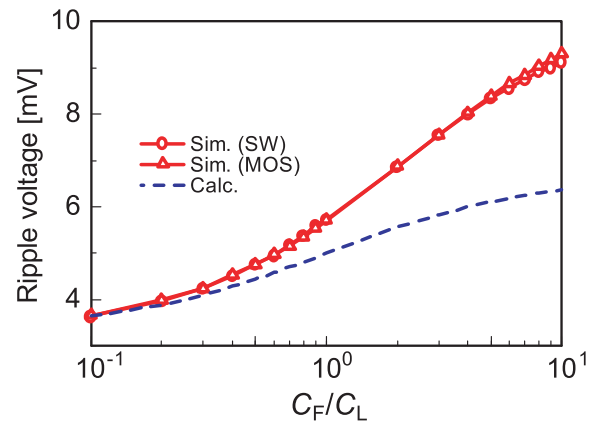
**Fig. 16** Output voltage of VBC using MOS switches ( $L=200$  nm) as function of load current.  $C_{\text{total}}$  ( $= 2C_F + C_L$ ) was set to 150 pF. Capacitances ( $C_F, C_L$ ) were set to (a) (20, 110 pF), (b) (50, 50 pF), and (c) (70, 10 pF).

**Table 4** Comparison of output impedance

Topology	Output impedance [ $M\Omega$ ]											
	$(C_F, C_L) = (20, 110 \text{ pF})$				$(C_F, C_L) = (50, 50 \text{ pF})$				$(C_F, C_L) = (70, 10 \text{ pF})$			
	Calc.	Sim. (ideal)	Sim. ( $L=60\text{nm}$ )	Sim. ( $L=200\text{nm}$ )	Calc.	Sim. (ideal)	Sim. ( $L=60\text{nm}$ )	Sim. ( $L=200\text{nm}$ )	Calc.	Sim. (ideal)	Sim. ( $L=60\text{nm}$ )	Sim. ( $L=200\text{nm}$ )
Fig. 13 (a)	11.1	11.2	10.8	11.0	4.36	4.39	4.34	4.33	3.04	3.13	3.10	3.01
Fig. 13 (b)	13.6	13.7	13.3	13.5	5.35	5.39	5.34	5.33	3.75	3.85	3.82	3.73
Fig. 13 (c)	17.3	17.6	17.1	16.9	6.85	6.84	6.82	6.78	4.83	4.86	4.85	4.72
Fig. 13 (d)	26.0	25.6	24.1	25.1	10.3	10.1	9.91	10.0	7.31	7.24	7.09	7.09



**Fig. 17** Output impedance as function of  $C_F/C_L$ .



**Fig. 18** Ripple voltage as function of  $C_F/C_L$ .

the simulated and calculated ripple voltage as a function of  $C_F/C_L$ . The ripple voltage increased as  $C_F/C_L$  increased. However, the simulated results increased more than the calculated results. This was because of the non-overlapping period of the clock generator. The  $C_F$ s were disconnected from the  $C_L$  during the non-overlapping period. In this situation, the ripple voltage was determined by not only Eq. (17) but also  $C_L$ ,  $I_L$ , and non-overlapping period. The simulated ripple voltage increased because  $C_L$  decreased as  $C_F/C_L$  increased. From Figs. 17 and 18, we have to pay attention to the trade-off between the load range and ripple voltage.

We evaluated the PCE of Fig. 13 (a). We set  $C_F$ ,  $C_L$ , and  $I_L$  to 50, 50 pF, 20 nA, respectively. Figure 19 shows PCE as a function of frequency. Compared with the calculated results, the simulated results using ideal and MOS switches were lower than the calculated results. This was because of the on- and off-resistance of the MOS switches. In our calculated model, we ignored  $r_{\text{on}}$  and  $r_{\text{off}}$ . However, in a higher frequency range, the power loss in  $r_{\text{on}}$  and  $r_{\text{off}}$  increased, and the PCE degraded. We also found that there was a suitable clock frequency that maximizes the PCE when the load current was fixed.



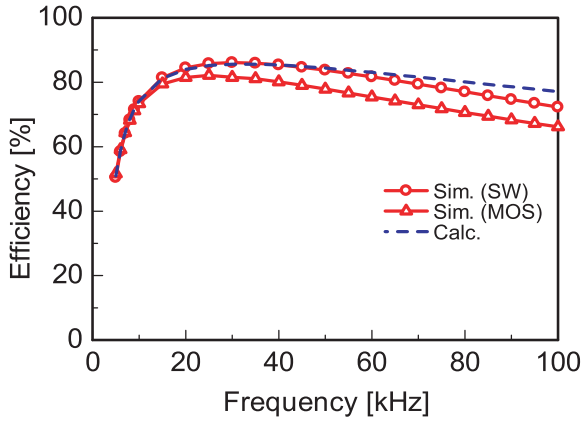


Fig. 19 PCE as function of clock frequency.

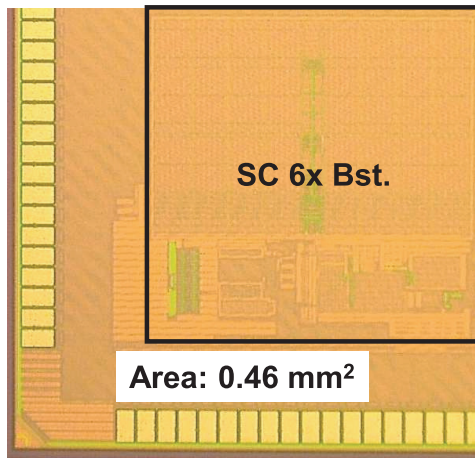


Fig. 20 Chip micrograph.

## 4.2 Experimental Results

A prototype SC VBC using Fig. 13 (a) was fabricated with 65-nm CMOS process technology. The load capacitance was set to 30 pF. The input voltage was set to 0.5 V, which was supplied by the voltage source. Figure 20 shows the chip micrograph (area: 0.46 mm<sup>2</sup>) including the SC VBC and other peripheral circuits.

Figures 21 and 22 show the measured output voltage and efficiency as a function of load current. The load range that could generate a voltage higher than 1.2 V was 0 to 1.46  $\mu$ A. The maximum PCE was 68.3% at  $I_L = 0.4 \mu$ A. The power dissipation of the VBC was 126 nW.

Figure 23 shows the measured voltage conversion ratio (VCR) as a function of the input voltage when unloaded. We defined the minimum supply voltage as the input voltage at which the VCR was higher than 5.5. From Fig. 23, the minimum input voltage was 220 mV.

To demonstrate the feasibility of our energy harvesting system, we used a small-size photovoltaic (PV) cell as an energy harvester. Figure 24 shows a micrograph of the silicon PV cell we used and its measured characteristics. The size

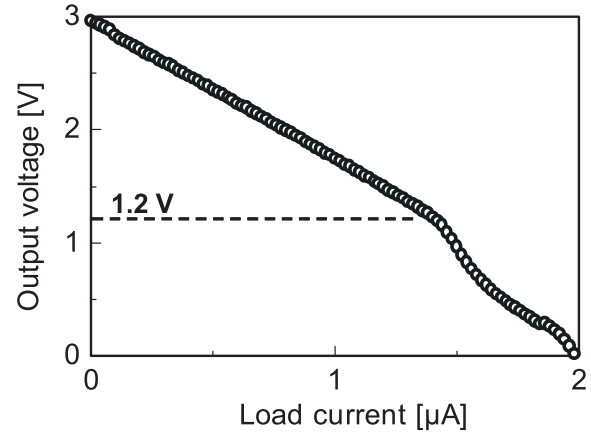


Fig. 21 Measured output voltage as function of load current.

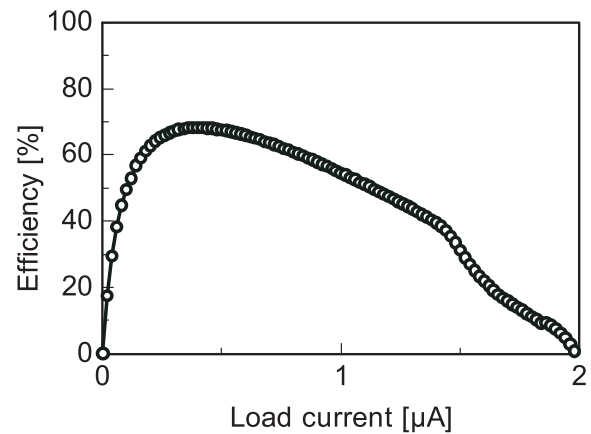


Fig. 22 Measured PCE as function of load current.

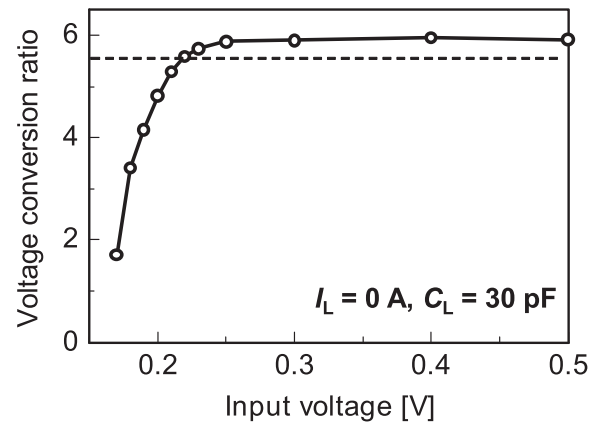


Fig. 23 Measured voltage conversion ratio as function of  $V_{in}$ .

of the PV cell was  $2.5 \times 2.5$  mm. The open circuit voltage and the maximum output power of the PV cell were 0.33 V and 400 nW, respectively, at a room light intensity of 850 lx. Figure 25 shows the measured output voltage as a function of load current, with and without a maximum power point tracking (MPPT) control circuit. The MPPT control circuit reported in [17], [20] was adopted in this design

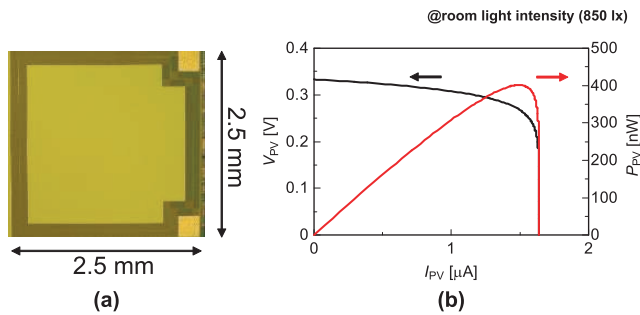


Fig. 24 On chip PV cell: (a) chip micrograph and (b) measured characteristics.

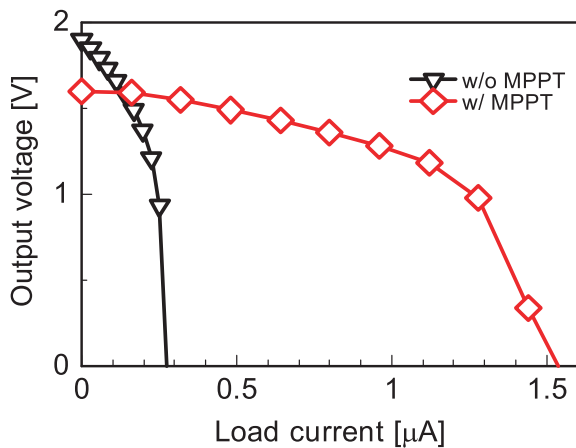


Fig. 25 Measured output voltage as function of load current.

(details of the MPPT are not discussed in this paper). As can be seen, the load range increased significantly thanks to the MPPT circuit. The output voltage with the MPPT circuit was lower than that without the MPPT circuit in a light load-current region. This was because the output voltage of the PV cell decreased to 0.26 V due to the current dissipation of the MPPT circuit.

## 5. Conclusion

This paper presented an analytical model of a multi-stage SC VBC for low-voltage and low-power energy harvesting. In our proposed method, we focus on currents flowing into input and output terminals of each stage and model the VBCs by using switching frequency  $f$ , charge transfer capacitance  $C_F$ , load capacitance  $C_L$ , and process dependent parasitic capacitance's parameter  $k$ . A comparison between simulated and calculated results showed that our model can accurately estimate the output impedance of the VBC. By using our model, we can achieve a highly efficient VBC. A prototype SC VBC and energy harvesting using the SC VBC were also developed to show the effectiveness and feasibility of our proposed design guideline.

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## Appendix:

Equation (15) can be obtained in the same manner as deriving Eq. (14). Details are as follows.

From the KCL for node  $V_{1A}(s)$  in Fig. 12 (b), we obtain the following equation:

$$\frac{V_{1A}(s) - \left(\frac{V_{in}}{s} + \frac{V_{in}}{s}\right)}{1/sC_F} + \frac{2I_L}{s} + \frac{V_{1A}(s) - \frac{V_{1B}(T/2)}{s}}{1/sC_L} + \frac{V_{in}}{1/skC_F} = 0. \quad (\text{A} \cdot 1)$$

Therefore,  $V_{1A}(s)$  is expressed as

$$V_{1A}(s) = \alpha_1 \frac{(2-k)V_{in}}{s} + \alpha_2 \frac{V_{1B}(T/2)}{s} - \frac{2I_L}{s^2(C_F + C_L)}, \quad (\text{A} \cdot 2)$$

where  $\alpha_1 = C_F/(C_F + C_L)$  and  $\alpha_2 = C_L/(C_F + C_L)$ . From Eq. (A·2), we obtain the time domain voltage  $V_{1A}(t)$  as

$$V_{1A}(t) = \alpha_1(2-k)V_{in} + \alpha_2 V_{1B}(T/2) - \frac{2I_L}{C_F + C_L} t. \quad (\text{A} \cdot 3)$$

At  $t = T/2$ , Eq. (A·3) can be expressed by

$$V_{1A}(T/2) = (2-k)V_{in} - \frac{2I_L T}{C_F 2}. \quad (\text{A} \cdot 4)$$

This is because  $V_{1B}(T/2)$  is equal to  $V_{1A}(T/2)$  due to the symmetrical VBC’s operation. From the aforementioned analysis, we obtain the output voltage of the 1st stage, thereby enabling us to analyze the 2nd stage using Eq. (A·4).

From the KCL for node  $V_{2A}(s)$ , we obtain the following equation:

$$\frac{V_{2A}(s) - \left(\frac{2V_{1A}}{s} - \frac{V_{in}}{s}\right)}{1/sC_F} + \frac{I_L}{s} + \frac{V_{2A}(s) - \frac{V_{2B}(T/2)}{s}}{1/sC_L} + \frac{V_{1A}(T/2)}{1/skC_F} = 0. \quad (\text{A} \cdot 5)$$

Therefore,  $V_{2A}(s)$  is expressed as

$$V_{2A}(s) = \alpha_1 \left( \frac{(2-k)V_{1A}}{s} - \frac{V_{in}}{s} \right)$$

$$+\alpha_2 \frac{V_{2B}(T/2)}{s} - \frac{I_L}{s^2(C_F + C_L)}. \quad (\text{A} \cdot 6)$$

From Eq. (A·6), we obtain the time domain voltage  $V_{2A}(t)$  as

$$V_{2A}(t) = \alpha_1 ((2 - k)V_{1A}(T/2) - V_{in}) + \alpha_2 V_{2B}(T/2) - \frac{I_L}{C_F + C_L} t. \quad (\text{A} \cdot 7)$$

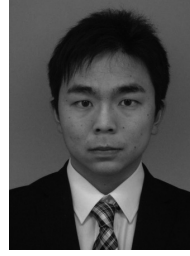
At  $t = T/2$ , Eq. (A·7) can be expressed by

$$V_{2A}(T/2) = (3 - 4k + k^2)V_{in} - \frac{(5 - 2k)I_L T}{C_F} \frac{1}{2}. \quad (\text{A} \cdot 8)$$

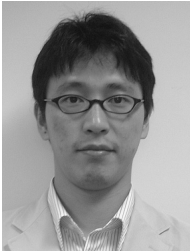
This is because  $V_{2B}(T/2)$  is equal to  $V_{2A}(T/2)$ .

From Eqs. (3), (A·7), and (A·8), the average output voltage  $V_{dc2}$  is given by

$$V_{dc2} = (3 - 4k + k^2)V_{in} - \frac{(9 - 4k)C_F + (10 - 4k)C_L}{4fC_F(C_F + C_L)} I_L. \quad (\text{A} \cdot 9)$$



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