

Nb 9-Layer Fabrication Process for Superconducting Large-Scale SFQ Circuits and Its Process Evaluation

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SUMMARY We describe the recent progress on a Nb nine-layer fabrication process for large-scale single flux quantum (SFQ) circuits. A device fabricated in this process is composed of an active layer including Josephson junctions (JJ) at the top, passive transmission line (PTL) layers in the middle, and a DC power layer at the bottom. We describe the process conditions and the fabrication equipment. We use both diagnostic chips and shift register (SR) chips to improve the fabrication process. The diagnostic chip was designed to evaluate the characteristics of basic elements such as junctions, contacts, resistors, and wiring, in addition to their defect evaluations. The SR chip was designed to evaluate defects depending on the size of the SFQ circuits. The results of a long-term evaluation of the diagnostic and SR chips showed that there was fairly good correlation between the defects of the diagnostic chips and yields of the SRs. We could obtain a yield of 100% for SRs including 70,000 JJs. These results show that considerable progress has been made in reducing the number of defects and improving reliability.

key words: superconducting fabrication technology, Nb/AlOx/Nb Josephson junction, single flux quantum, planarization, shift register

1. Introduction

We have been developing many superconducting single flux quantum (SFQ) circuits using two Nb fabrication processes, called the standard process and the advanced process. The standard process involves using up to four Nb layers and Josephson junctions (JJ) with a critical current density of 2.5 kA/cm² [1], [2]. On the other hand, the advanced process uses six to ten Nb layers and JJs with a critical current density of 10 kA/cm² [3]–[8]. Although the advanced process has disadvantages in a longer turn-around time of the fabrication and a larger amount of the photomask costs, it enables SFQ circuits to operate at a higher frequency and to be integrated at a higher density than the standard process. It also requires planarization technology to construct a multi-layer structure having more than six Nb layers and higher reliability than the standard process. To construct the multi-layer structure, we have developed caldera planarization technology [3], [4] and complemented caldera planarization technology [7], [8]. To improve the reliability of the advanced

fabrication process, we have designed diagnostic chips and shift register (SR) chips and have been evaluating them over a long term of time [5], [8], [19]–[21].

In this paper, we focus on the advanced process. We describe the recent progress on the Nb nine-layer fabrication process, called ADP2. Its device structure is described in the next section. The process conditions are summarized together with the fabrication process flow and a description of our equipment in Sect. 3. Finally, we describe a long-term evaluation of diagnostic chips and SR chips and discuss the correlation of their results.

2. Device Structure of Advanced Process

The device structures in the advanced process have changed slightly as fabrication technology has progressed [3]–[8]. We previously developed the Nb 10-layer fabrication process [8]. After that, since we could manage to compose the SFQ cell structure of the ADP2 without the top Nb layer, we eliminated it to reduce the load on the fabrication process. Figure 1 illustrates the device structure of the current Nb nine-layer fabrication process (ADP2). It is composed of an active layer including JJ and resistor layers at the top, passive transmission line (PTL) layers in the middle, and a DC power layer at the bottom. Although the upper two Nb layers (M8, M9) are not planarized, every other Nb layer (M1–M7) is planarized using the caldera planarization technology [3], [4]. The insulation between M6 and M7 layers is formed by using complemented caldera planarization technology, which enables us to place PTL1 and PTL2 patterns and C1–C5 contacts anywhere, even just below the junctions [7], [8].

The structure shown in Fig. 1 enables us to reduce the influence of magnetic fields due to large bias currents, since the active layer including the JJs, which are very sensitive for magnetic fields, is separated magnetically from the DC power layer and shielded by several ground planes (GND1, GND2, GND3, GP) [9]. This structure also enables us to form the Nb/AlOx/Nb junction layer in the last part of the fabrication process. Its advantages include reducing the damage that the JJs suffer during the fabrication and allowing the future utilization of a high-temperature process such as chemical vapor deposition (CVD) for fabricating the underlying layers of the JJs.

We used an RC type junction [5], [6] to eliminate any degradation in JJ quality due to internal stress of the Nb

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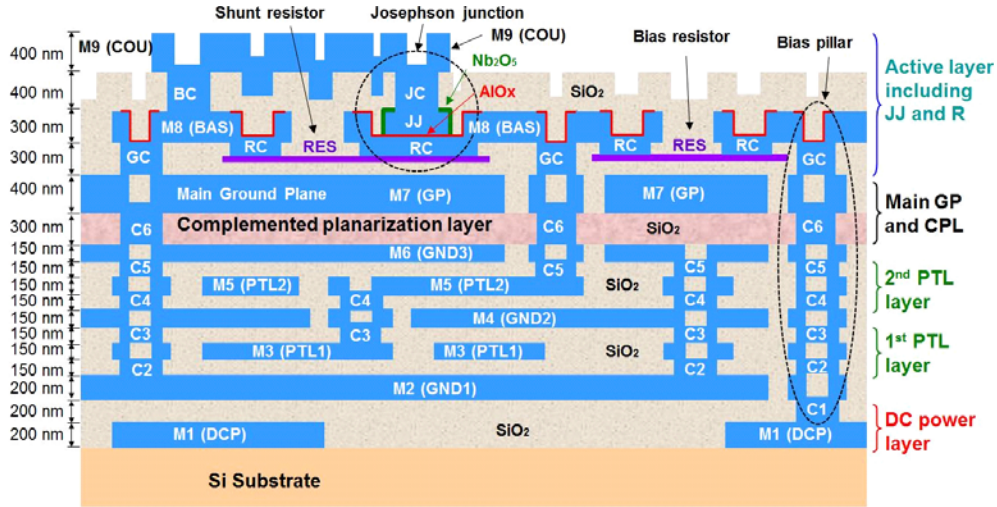


Fig. 1 Device structure of Nb 9-layer fabrication process (ADP2). JJ: Nb/AIOx/Nb junction, M1-M9: Nb layers, M2, M4, M6, M7: Ground planes, RES: Mo resistor (thickness: 45 nm), C1-C6, GC, RC, BC, JC: Contacts between metal layers, SiO₂: Interlayer insulator.

Table 1 Design rule for ADP2.

Critical current density (J_c) of JJ	10 kA/cm ²
Minimum JJ size including the shrinkage	$1.1 \times 1.1 \mu\text{m}^2$
Critical current (I_c) for minimum JJ	0.1 mA
I_c scatterings (1σ) for minimum JJs	< 2.0%
Shrinkage of JJ size	0.1 μm
Sheet resistance (Mo)	2.4 Ω
Minimum line width and space	1.0 μm
Minimum contact for C1 – C6, GC, RC, BC	$0.8 \times 0.8 \mu\text{m}^2$
Minimum contact for JC	$0.7 \times 0.7 \mu\text{m}^2$
Alignment margin	0.25 μm
Shrinkage for wirings (M1 – M7, M9, RES)	0
Shrinkage for wiring of M8 (BAS)	0.2 μm

films. The RC type junction also has the advantage of being able to reduce the circuit area, since part of the shunt resistor can be formed just below the junction. Optical proximity correction (OPC) patterns are used to reduce shrinkage in small junctions and to obtain critical current values that are linear with respect to the design sizes of the junctions [10].

The concave region on each contact is filled with SiO₂ to obtain a flat surface by caldera planarization [4], [5]. Therefore, the stack contact has a shape in which SiO₂ is sandwiched between upper and lower contacts. The electrical connection between them is made in the periphery region of the contacts. A multi-stack contact like a bias pillar [11] can be constructed by connecting several stack contacts vertically.

Moreover, effective moat configuration [12], SFQ cell structure [11], and CAD design tools [13], [14] for ADP2 were developed. Until now, many SFQ circuits have been developed by using these ADP2 technologies [15]–[17].

The design rule of ADP2 is shown in Table 1. The shrinkage is one of design parameters to adjust the difference between the designed value and the fabricated one. For example, the minimum JJ designed with $1.1 \times 1.1 \mu\text{m}^2$ becomes $1.0 \times 1.0 \mu\text{m}^2$ when it was fabricated, since it was

shrunk by 0.1 μm .

3. Fabrication Technology of ADP2

In this section, we describe the fabrication technology of ADP2, which has undergone numerous developments and improvements. In particular, we describe the process conditions and the fabrication flow and equipment.

We use 3-inch Si wafers whose surfaces are thermally oxidized. A particle detector (Topcon WM-7) is used to select wafers with fewer particles as the fabrication substrate.

3.1 Process Flow

Figure 2 shows a flowchart of ADP2 with the fabrication equipment. The fabrication process basically consists of deposition, patterning, etching, cleaning, and probing. Nb/AIO_x/Nb tri-layer, Nb, Mo, and SiO₂ films are deposited by three magnetron sputtering systems. The photoresist patterns are formed using an i-line stepper and a coater-developer system. The deposited films are then patterned in the corresponding reactive ion etching (RIE) systems. After dry etching, the residual photoresist is removed using an automatic spin cleaning system. The diagnostic chips including the process test elements are then measured by using an automatic probe system. Besides this basic sequence, chemical mechanical polishing (CMP) and post CMP cleaning are added in the case that planarization is carried out. This sequence is repeated to construct the ADP2 device structure. All fabrication processes are performed at a temperature less than 140°C.

3.2 Deposition

The Nb/AIO_x/Nb junction tri-layer is formed with an Nb/Al sputtering system that has a load-lock chamber and three

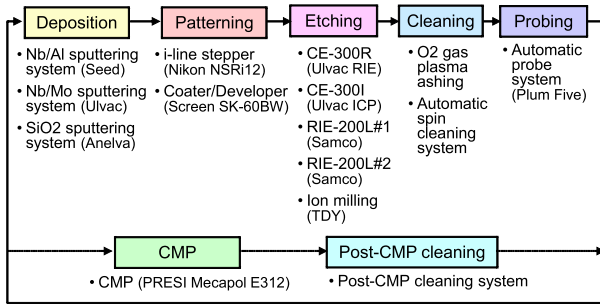


Fig. 2 Flowchart of ADP2 with fabrication equipment.

Table 2 Deposition conditions for Nb, Al, and Mo.

	Nb (JJ)	Al	Nb (wiring)	Mo
Deposition system	Nb/Al sputtering system (Seed)		Nb/Mo sputtering system (Ulvac)	
B.G. pressure (Pa)	5×10^{-6}	5×10^{-6}	5×10^{-6}	5×10^{-6}
Sputtering method	DC mag.	DC mag.	DC mag.	DC mag.
Process gas	Ar	Ar	Ar	Ar
Pressure (Pa)	0.13	0.13	0.13	0.2
RF power (W)	708	92	368	164
Sputtering target	8 inch ϕ	8 inch ϕ	10 inch ϕ	10 inch ϕ
Deposition rate (nm/min)	80	15	95	67

vacuum chambers for Nb deposition, Al deposition, and oxidation of Al. 300-nm-thick Nb and 10 nm-thick Al films are continuously deposited by DC magnetron sputtering. Once the deposition is finished, the Al film surface is oxidized by introducing an Ar and 1%-O₂ mixture gas into the oxidation chamber. This oxidation step lasts for half an hour at a substrate temperature of 20°C. The critical current density of Josephson junctions is mainly determined by the pressure of the Ar and 1%-O₂ mixture gas. The target critical current density of 10 kA/cm² is obtained at the mixture gas pressure of 80 Pa. Under these conditions, the Al film surface is oxidized to a thickness around 1 nm. After that, a 150 nm-thick Nb film is deposited. The Nb/AlO_x/Nb tri-layer is continuously formed without breaking the vacuum.

Mo film and Nb films other than the junction tri-layer are deposited with a Nb/Mo sputtering system which has a load-lock chamber and three vacuum chambers for Nb deposition, Mo deposition, and RF Ar plasma cleaning. Table 2 shows the deposition conditions for the Nb, Al, and Mo films.

SiO₂ interlayer insulators are deposited with an SiO₂ sputtering system that has a load-lock chamber and two vacuum chambers for SiO₂ deposition and Pd deposition. The SiO₂ insulators are deposited by bias sputtering under two different bias conditions. The RF power of 900 W for the SiO₂ target stays the same, but the RF power for the substrate bias is different. One is a soft bias condition which has a substrate RF power of 100 W. The other is a normal bias condition which has a substrate RF power of 300 W. Increasing the substrate RF power improves the step coverage

Table 3 Deposition conditions for SiO₂.

	Soft bias	Normal bias
Deposition system	SiO ₂ sputtering system (Anelva)	
B.G. pressure (Pa)	2.0×10^{-5}	2.0×10^{-5}
Sputtering method	RF mag.	RF mag.
Process gas	Ar	Ar
Pressure (Pa)	0.5	0.5
RF power for SiO ₂ target (W)	900	900
Sputtering target size	8 inch ϕ	8 inch ϕ
RF power for substrate bias (W)	100	300
Deposition rate (nm/min)	20	16

of the SiO₂, but increases damage to the underlying Nb [2].

Table 3 shows the deposition conditions of the SiO₂ films. SiO₂ interlayer insulators are formed with a combination of bias conditions. For example, the 400-nm-thick interlayer insulator between M8 (BAS) layer and M9 (COU) layer is composed of 60-nm-thick SiO₂ with the soft bias condition, 200-nm-thick SiO₂ with the normal bias condition, and 140-nm-thick SiO₂ with the soft bias conditions. The initial 60-nm-thick SiO₂ is used to reduce damage to the surface of the underlying metal layer. The middle 200-nm-thick SiO₂ layer is deposited to ensure good step coverage. The last 140-nm-thick SiO₂ is deposited to obtain a thick enough step-edge region in the underlying metal layer patterns.

3.3 Patterning

We used an i-line stepper (Canon FPA-2500 i3), which has a resolution of 350 nm, numerical aperture of 0.60, and an alignment accuracy of less than 0.1 μ m from 2009 to June 2012. In July 2012, we introduced a new i-line stepper (Nikon NSR-2205i12D). It has a resolution of 350 nm, numerical aperture of 0.63 ~ 0.5, and alignment accuracy of less than 55 nm. Photoresists (Sumitomo Chemical PFI-68, PFI-26) are coated and developed in a coater/developer system (Screen SK-60BW). We do not use any anti-reflective coating technology. The thickness of the PFI-68 and PFI-26 photoresists is 0.7 μ m and 1.0 μ m at 4000 rpm, respectively. The pre- and post-bake temperatures of the photoresists are 90°C and 110°C, respectively.

3.4 Etching

The Nb, Al, Mo, and SiO₂ films are respectively etched with four etching systems (CE-300R, ion milling, CE300I, RIE-200L). Each etching system has a load-lock chamber and an etching chamber. Table 4 shows the etching conditions for these films. Nb wirings and Mo resistors are formed by reactive ion etching (RIE) with SF₆ gas. End-point detection monitoring optical plasma emissions is used for Mo film etching and all of the Nb film etchings except for the ground layers (GND1, GND2, GND3, and GP). The AlO_x/Al of the junction is etched by ion milling in Ar gas. The ion beam is accelerated with a voltage of 400 eV at an Ar pressure of 5×10^{-2} Pa. The wafer is mounted on the plate that rotates during the milling operation, and the incident angle of

Table 4 Etching conditions for Nb, Al, Mo, and SiO₂.

	Nb	Al	Mo	SiO ₂
Etching system	CE-300R (Ulvac)	Ion milling (TDY)	CE-300I (Ulvac)	RIE-200L (Samco)
B.G. pressure (Pa)	3.0×10^{-4}	3.0×10^{-4}	3.0×10^{-4}	7.0×10^{-3}
Etching substrate	Quartz	-	Quartz	Carbon
Process gas	SF ₆	Ar	SF ₆	CHF ₃
Pressure (Pa)	5	-	5	2
RF power (W)	50	-	50	70
Etching rate (nm/min)	200	4	50	17

the ion beam is 30 degrees for the rotation axis of the plate. Contact holes and a caldera shape for the SiO₂ insulators are formed by RIE with CHF₃ gas.

3.5 Cleaning

First, O₂ gas plasma ashing is carried out on every wafer to remove the altered surface of the photoresist due to the RIE. This procedure reduces the number of particles generated in the next cleaning step.

After that, an automatic spin cleaning system completely removes the residual photoresist. This system has a spray nozzle and a high pressure jet nozzle shooting a mildly alkaline solvent called NMP (N-Methyl-2-Pyrrolidone), a pen brush scrub unit, and a 1-MHz ultrasonic deionized (DI) water unit. The temperature of the NMP is 80°C. Several cleaning recipes can be selected in order to control the conditions precisely. An appropriate recipe can be found for each of the process steps.

The cleaning procedure is very important, since even a slight polymer residue can cause fatal defects in the fabrication process.

3.6 Probing

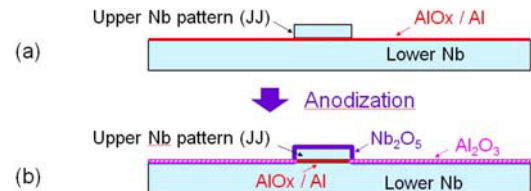
Probing is a key technology in increasing the reliability of the fabrication process. We measured diagnostic chips including various process test elements, (described in the next section) by using an automatic probe system (Plum Five PCP-102SL) after each of the Nb and Mo layers was formed. All measured data are compared on site with the expected values, stored in Excel database files, and converted into various tables and figures. Therefore, if there is a defect, we can detect when, where, how it arose. This leads to a prompt resolution of the problem and improvement of the fabrication process.

3.7 CMP and Post-CMP Cleaning

Our CMP system (PRESI MECAPOL E312) is very simple compared with the ones used in current semiconductor fabrication plants. The system does not have advanced capabilities such as uniform wafer pressure control, in-line thickness monitor, or in-line cleaning capability. Therefore, the

Table 5 Polishing conditions.

Slurry	Fujimi PLANERLITE-4101 (neutral)
Polishing pad	IC1000/suba400
Platen speed	35 rpm
Wafer speed	30 rpm
Weight load	337 g/cm ²
Polishing time	Typically 30 second

**Fig. 3** Schematic view of anodization for the junction region.

uniformity and run-to-run reproducibility of the polishing rate are not good. For example, there is a large run-to-run variation in the polishing rate, which is around 25 nm/min with a variation of ± 10 nm for a flat SiO₂ film surface. Moreover, the planarization technology had a pattern-size-dependence problem. However, we overcame these problems by using caldera planarization technology [3], [4], [8]. The uniformity and reproducibility of the planarization are very good, that is, typically within the variation criteria of less than 10%. The polishing conditions are listed in Table 5. Our CMP has few chemical characteristics because it uses a neutral slurry. We can obtain a planar surface with a short CMP, typically 30 seconds. The remaining step height after the caldera planarization is less than 10% of the initial step height (Fig. 4).

The post-CMP cleaning system immediately cleans the polished wafers of slurry left on the wafer. This system has a brush scrub unit, a 1-MHz ultrasonic DI water unit, and a high pressure jet unit. Both sides of the wafer are cleaned with a rotating disk and brush units. After the brush cleaning, residual finer particles are cleaned with a 1-MHz ultrasonic DI water unit. It is possible to choose from several cleaning recipes and thereby precisely control the cleaning conditions.

3.8 Anodization

Anodization is carried out to increase the reliability of the junctions [18]. After the upper Nb pattern (JJ) of the Nb/AIO_x/Nb tri-layer is formed by RIE (Fig. 3(a)), the entire surface of the wafer is anodized in a mixed solution of ammonium pentaborate (7.8% by weight), ethylene glycol (57.0% by weight), and deionized water (35.2% by weight). The JJ pattern and AIO_x/Al barrier are anodized up to a voltage of 15 V with a constant current of 5 mA (0.12 mA/cm²). The JJ pattern surface and AIO_x barrier are anodized to Nb₂O₅ and Al₂O₃, respectively (Fig. 3(b)). Thickness of anodization is around 30 nm.

We fabricated the Nb nine-layer device structure shown in Fig. 4, by repeating the process flow described above. As

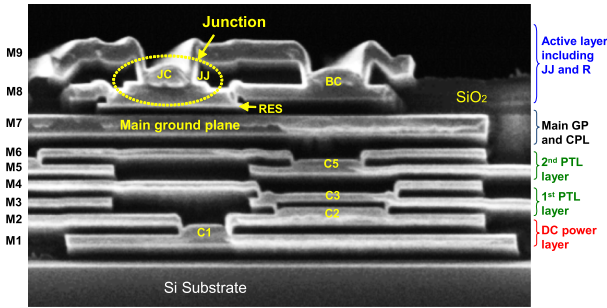


Fig. 4 Cross-sectional SEM photograph of device fabricated in Nb 9-layer process (ADP2). RC type junction (JJ), single contacts (C1, C5, BC, JC), and stack contact (C2/C3) are shown.

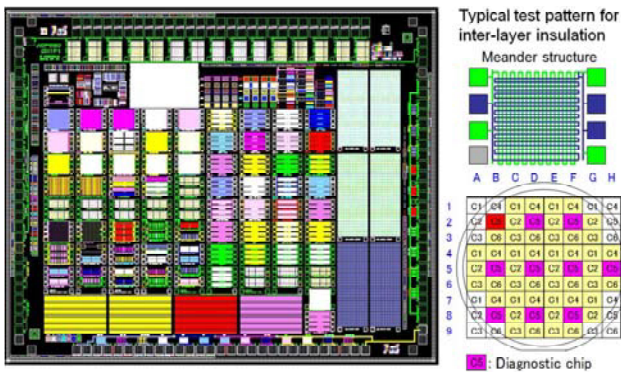


Fig. 5 Layout of diagnostic chip (8.5 mm x 7.0 mm) and positions of diagnostic chips in a wafer.

shown in this figure, junction region was very flat despite the step edges of several underlying wirings and contacts.

4. Process Evaluation

4.1 Diagnostic Chip

Figure 5 show a diagnostic chip. It is composed of many different inter-layer and intra-layer insulation patterns, line patterns, junctions, contacts, and resistors [5], [8]. The number of room-temperature test elements per chip is 312. Since ten chips in a wafer are tested, the total number of the probing sites for a wafer is 3120.

Figure 6 plots the run-to-run variation in the total number of defects in diagnostic chips fabricated from March 2011 to July 2012. The total number of probing sites relating to this the defect evaluation was 2110 for every wafer. Many kinds of defects were detected, but the major ones in terms of run-to-run frequency and defect rate of each fabrication were “Contact Open”, “JJ Open”, and “Contact Inter-layer short” (a short between a layer including the contact and a separate layer over the contacts). The number after the defect name in the figure legend is the measured number of test elements for each defect type. A good fabricated wafer should have fewer than 20 defects, which means no more than two defects per chip, given the measurement results of SR yields described in next section. The ratio of

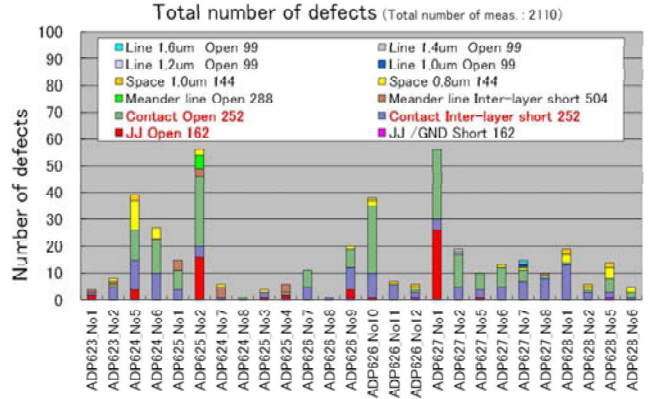


Fig. 6 Run-to-run variation in defects of diagnostic chips from March 2011 to July 2012.

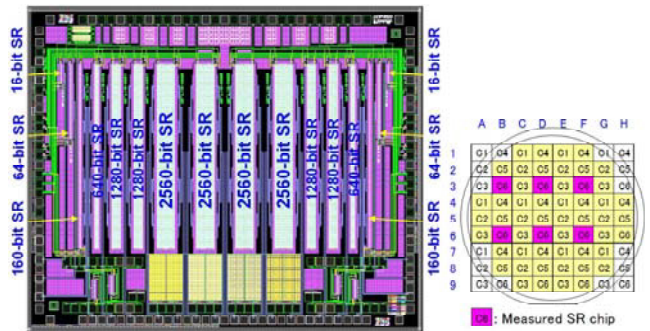


Fig. 7 Layout of shift register chips and positions of SR chips in a wafer.

Table 6 Specifications of shift register chip.

Bit capacity	Array organization	Total number of JJs	SR_bias (mA)	Number of SRs
16	16 x 1	90	2.75	2
64	64 x 1	282	11	2
160	160 x 1	666	28	2
640	160 x 4	2589	110	2
1280	160 x 8	5153	220	4
2560	160 x 16	10281	440	4

good wafers was 77% (20/26) during this fabrication period.

4.2 Shift Register Chip

The shift registers (SR) were designed to detect problems that arise from the fabrication of SFQ circuits. Most of the components of the SR cell had the minimum feature size for ADP2. The basic design and device structure for the SR cell are described in reference [8].

To evaluate defects that have a circuit-size dependency, we designed six different SRs which have bit capacities ranging from 16 to 2,560. Figure 7 shows the layout of the SR chip, and Table 6 lists the specifications of the SRs such as array organization, the number of JJs, SR-bias current, and number of SRs. The SR-bias means the total DC bias

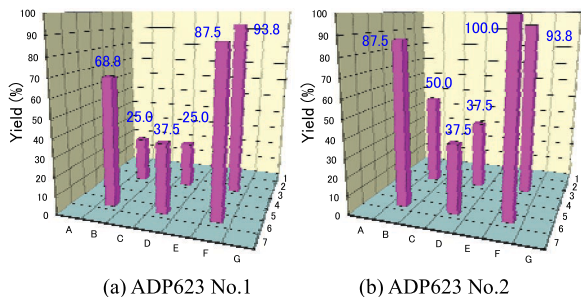


Fig. 8 Yield of shift registers depending on position of chip in a wafer. Six chips (B3, B6, D3, D6, F3, F6) correspond to the positions shown in Fig. 6.

current of each SR circuit except for that of the input and output circuits (DCSFQ and SFQDC gates). The number of JJs is about four times the bit capacity because the unit cell is composed of four JJs. Therefore, the total number of JJs is less than 100 for the 16-bit SR and more than 10,000 for the 2,560-bit SR. Since the chip has four circuits for the 1280-bit SR and 2560-bit SR and two circuits for the 16-bit SR to 640-bit SR, the total number of JJs in a chip amounts to around 70,000. Although these SRs were designed for a process evaluation, they also can play a role in demonstrating large-scale SFQ circuits fabricated by ADP2. Figure 7 also shows the positions of the SR chips in a wafer. For each wafer, since 6 SR chips were measured, a total of 12 circuits for the 16-bit to 640-bit SRs, 24 circuits for the 1280-bit and 2560-bit SRs were measured.

The yields of the SRs gradually improved as we developed our fabrication technology described in Sect. 3. Figure 8 shows examples of the measured operating yields of SRs depending on the chip’s position in a wafer (ADP623 No.1 and No.2). In this figure, the yields are represented as percentages of correctly operating SRs out of all 16 SRs regardless of the differences in their bit capacities or measured bias margins. From these results, we can see that the distribution of the yields in a wafer is almost the same for these two wafers, in spite of the yield variation between chips being very large. However, we obtained higher yields for the chip of B6, F3, and F6. Especially, we obtained a chip (F6 of ADP623 No.2) having a yield of 100%. This means that SRs including 70,000 JJs in a chip successfully operated [19].

The cause of the large yield difference in the wafers was believed to be pattern resolution instability. We found that the resolution instability came from out-of focus problems due to local wafer flatness variations in the exposure shot area. The stepper could not focus if the large local flatness variation exceeded the depth of focus. Flatness variation was a problem especially because the exposure area of one shot was 17 mm × 21 mm. At first, we doubted that one of the causes of the large local flatness variation was distortion of the wafer due to sputtered film stress in the multi-layer structure. However, there was poor resolution even in forming the first layer. Therefore, we examined the flatness of the Si wafers and selected the flatter one. As a result, we

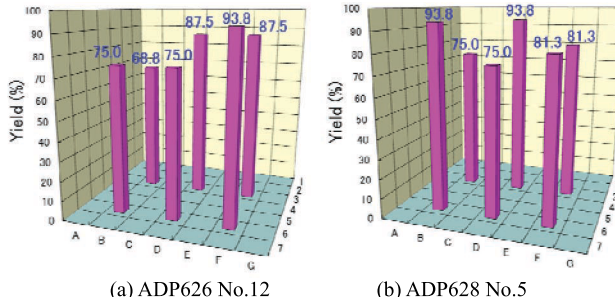


Fig. 9 Yield of shift registers depending on position of chip in two wafers after making resolution improvements.

obtained high yields for all six chips in the wafers, as shown in Fig. 9 [20], [21].

Figure 10 shows the run-to-run variation in the yields of SR chips. The bar graph shows the yields for SR chips fabricated from March 2011 to July 2012. The bar graphs indicate the measured operating yield depending on the circuit size. The line plots the number of defects detected by the diagnostic chips. As shown in this figure, there is fairly good correlation between the defects and the yields. The yield of larger SRs drastically decreases as the number of defects increases. It is considered that the SRs with more than the certain number of defects cannot operate. There were usually around 10 defects at random locations on the wafer. When the number of defects was less than 20, almost all were random defects, and these random defects did not always affect the SR operation. However, in wafers that had more than 20 defects, the defects included ones due to the variation of fabrication conditions such as etching residue, pattern resolution instability, and insufficient planarization in addition to random defects. These non-random defects seriously affected the SR operation, and they led to the drastic decrease of the yield of the SRs.

We obtained high operating yields (more than 66% (16/24)) for 2560-bit SRs having more than 10,000 JJs in ADP626 No. 8, ADP626 No. 12, and ADP628 No. 5, whose defects were less than 20.

So far, there has been a large variation in the run-to-run yields of the SRs. A few fabrications had low SR yields even though the number of defects was less than 20. We consider that there are still unknown defects or process variations which have not been evaluated by the diagnostic chip. One of causes may be variations of the process conditions that are due to machine problems. The run-to-run yields of the SRs are on the road to improving.

5. Conclusion

In this report, we summarized the recent progress in fabrication technology of ADP2 and described the Nb nine-layer device structure, its process conditions, and fabrication equipment.

Diagnostic chips and SR chips were included in every fabrication to improve the reliability of the ADP2. Their

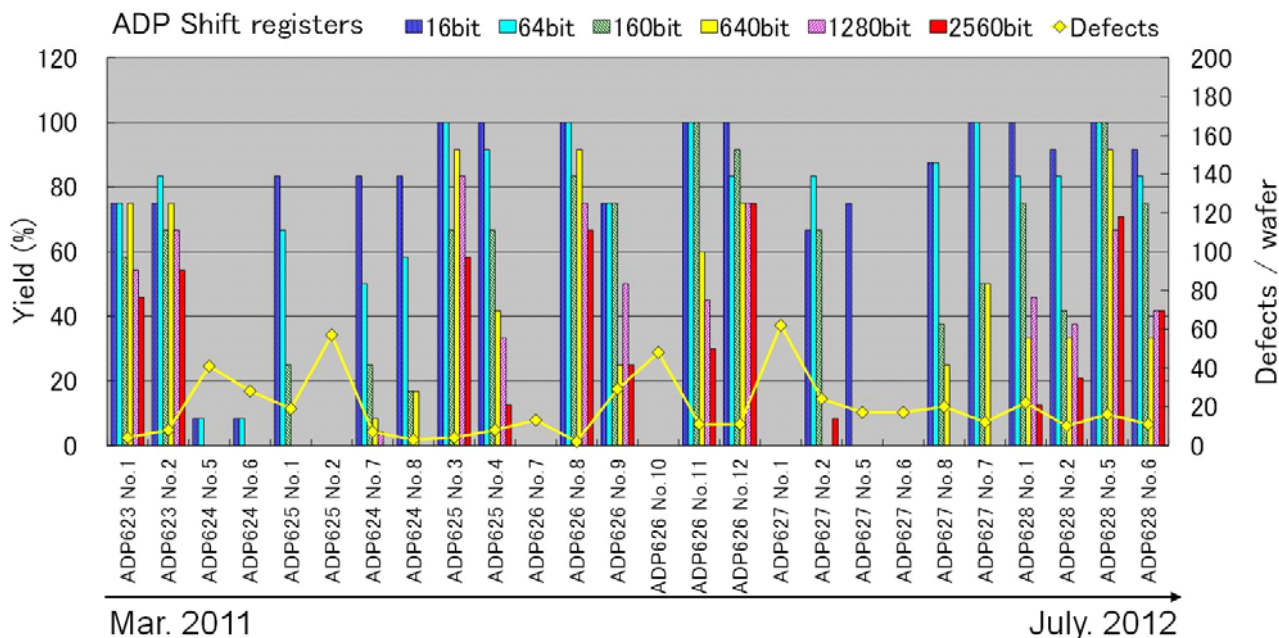


Fig. 10 Run-to-run variation of the yield of SR chips and number of defects for diagnostic chips. Bar graph indicates yields of the SRs for circuit sizes of from 16 to 2560 bits, and the line graph indicates total number of defects per wafer.

measurements were collected over a long period. We discussed the correlation of the defects between the diagnostic chips and the SR chips. There was fairly good correlation between them.

We obtained an SR yield of 100% as a result of improving our fabrication technology. This means that SRs with 70,000 JJs in a chip operated successfully. However, initially, there was a large yield difference depending on the position of the chip in a wafer. The difference was made smaller by selecting a flatter substrate wafer. As a result, we obtained high yields for all six chips in the wafers and achieved considerable progress in reducing defects and in improving reliability.

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